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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No 003936.P001X

Pages 5

First Named Inventor or Application Identifier Christopher J. Howard

Express Mail Label No. EL164802798US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. X Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. X Specification (Total Pages 46)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3. X Drawings(s) (35 USC 113) (Total Sheets 11)
4. X Oath or Declaration (Total Pages 4 Signed)
  - a. X Newly Executed (Original or Copy)
  - b.      Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
  - i.      DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.      Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.      Microfiche Computer Program (Appendix)
7.      Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.      Computer Readable Copy
  - b.      Paper Copy (identical to computer copy)
  - c.      Statement verifying identity of above copies

3C713 U.S. PTO  
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**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	380	216	190	Extension for response within second month	
117	870	217	435	Extension for response within third month	
118	1,360	218	680	Extension for response within fourth month	
128	1,850	228	925	Extension for response within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,210	241	605	Petition to revive unintentionally abandoned application	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	760	246	380	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (see 37 CFR 1.129(a))	
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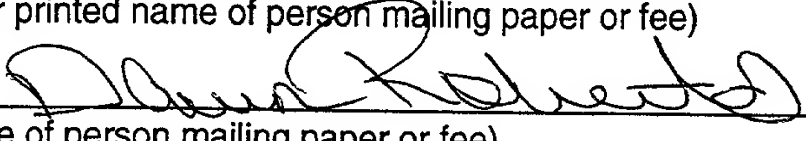
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Serial/Patent No.: \*\*\*\*

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Docket Due Date: 2/3/2000

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☐ Amendment/Response (\_\_\_\_ pgs.)
- ☐ Appeal Brief (\_\_\_\_ pgs.) (in triplicate)
- ☒ Application - Utility (\_\_\_\_ pgs., with cover and abstract)
- ☐ Application - Rule 1.53(b) Continuation (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(b) Divisional (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(b) CIP (46 pgs.)
- ☐ Application - Rule 1.53(d) CPA Transmittal (\_\_\_\_ pgs.)
- ☐ Application - Design (\_\_\_\_ pgs.)
- ☐ Application - PCT (\_\_\_\_ pgs.)
- ☐ Application - Provisional (\_\_\_\_ pgs.)
- ☒ Assignment and Cover Sheet (signed)
- ☒ Certificate of Mailing **Express Mail**
- ☒ Declaration & POA (4 pgs.)
- ☐ Disclosure Docs & Orig & Copy of Inventor's Signed Letter (\_\_\_\_ pgs.)
- ☒ Drawings: 11 # of sheets includes 12 figures

- ☒ Express Mail No. EL164802798US
- ☐ \_\_\_\_\_ Month(s) Extension of Time
- ☐ Information Disclosure Statement & PTO 1449 (\_\_\_\_ pgs.)
- ☐ Issue Fee Transmittal
- ☐ Notice of Appeal
- ☐ Petition for Extension of Time
- ☐ Petition for \_\_\_\_\_
- ☒ Postcard
- ☐ Power of Attorney (\_\_\_\_ pgs.)
- ☐ Preliminary Amendment (\_\_\_\_ pgs.)
- ☐ Reply Brief (\_\_\_\_ pgs.)
- ☐ Response to Notice of Missing Parts
- ☐ Small Entity Declaration for Indep. Inventor/Small Business
- ☒ Transmittal Letter, in duplicate
- ☒ Fee Transmittal, in duplicate

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UNITED STATES PATENT APPLICATION  
for  
**LIMITED-USE BROWSER AND SECURITY SYSTEM**

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# **LIMITED-USE BROWSER AND SECURITY SYSTEM**

## **FIELD OF THE INVENTION**

This invention relates generally to Internet browsers and servers, and more particularly, to a method and apparatus for a limited-use browser and/or add-in security component and security system.

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## **BACKGROUND OF THE INVENTION**

Businesses and individuals currently use public networks such as the Internet and World Wide Web to distribute large amounts of information or “content” to many users all over the globe. Once this content is distributed over the web, the publisher and/or owner has virtually no control of its redistribution or use. The issue of controlling web content is very important to businesses because most web sites contain at least some content that is considered proprietary. The current lack of control of web content publishing involves a high degree of risk for intellectual property, and may prevent publication altogether. Web users typically compromise the security of web content using any of the methods given below.

1. Copy-and-paste method. The user selects an image or text and chooses ‘Copy’ from the Edit menu (or alternately presses Control-C or any other keys that

perform a copy function), and pastes the image or text into an application which allows saving.

2. Print method. The user prints text or images which can then be photocopied or re-digitized via scanning technology.

3. Print-Screen method. The user copies the screen into the common memory or “clipboard” used for inter-application communication and saves it as an image file. If the user simply intends to obtain text, the user then runs the captured image through an optical character recognition (OCR) application to output converted text.

4. View Source method. The user views and/or saves the hyper-text markup language (HTML) source code of the web page, then recreates and saves the original content.

5. Content Transferring. The user copies the file to disk or another machine or network node.

6. Save Method. The user simply chooses the save function and saves the content to the local machine.

Known solutions to the problem of maintaining control of web content generally fall into two categories. The first category relates to systems for marking and tracking image files. Such systems typically implement a “digital watermark”. A digital watermark is typically a small piece of source code that is embedded into an image that enables the owner of the image to track its distribution over the web using specialized software. This tracking solution still provides very little control over how the content is actually distributed and is directed more toward providing some legal recourse once the content is already stolen.

The second category relates to large proprietary secure information distribution systems, which typically do not currently use common web browsers or the web in general. These proprietary systems use specific applications for allowing different levels of user control of certain documents. These specialized systems are usually found inside

large corporations, and they tend to be very costly and are generally not accessed by users of the web. Neither solution provides control of web content. Large organizations can use internal intranets, local area networks (LANs) or wide area networks (WANs) for distribution of information that pose the same problems as those described above for public networks such as the Internet.

Therefore, there is a need for an easy and efficient way to provide controlled access to content and to control the distribution of content over a network in a manner that prevents unauthorized duplication.

### SUMMARY OF THE INVENTION

The above-mentioned shortcomings, disadvantages and problems are addressed by the present invention, which will be understood by reading and studying the following specification.

A limited-use browser and related security system control access to content stored on a server computer linked to a network. The security system secures the content on the server and only permits it to be downloaded to a client computer running the limited-user browser or a general-purpose browser executing an add-in security module providing the same functions as the limited-user browser. The limited-use browser or module secures the downloaded content on the client computer and displays it in a "view-only" mode. In one embodiment all forms of non-ephemeral reproduction (e.g. printing, saving to disk, etc.) are disabled. While the secured content is being displayed, menu selections, key combination, or pointing device commands initiated on the client computer that would modify the content or create a copy on another medium are either disabled by default or monitored to determine if the action is permitted. The protected content can be displayed in a window within the browser frame or in a separate window having a special control set. Any format that can be displayed via the Internet can be secured in the view-only

mode. Other functionality includes the ability to secure, in view-only mode, non-web enabled files.

5 A limited-use web browser and related security system allows providers of text and images or other content to publish content on a local-area network (LAN) or wide-area network (WAN), such as the World Wide Web (web) and the Internet, while safeguarding their intellectual property against theft, distribution or resale by unauthorized organizations or individuals by controlling use of the content. The limited-use web browser/add-in security module of the present invention reads and displays any viewable web content including text, images, and streaming audio and video just as with  
10 a normal web browser but limits the user to an ephemeral-only view of the information, such as displaying text, images, or video on a computer monitor, or providing other ephemeral output such as audio through a sound card and speakers attached to the computer.

15 The present invention describes systems, clients, servers, methods, and computer-readable media of varying scope. In addition to the aspects and advantages of the present invention described in this summary, further aspects and advantages of the invention will become apparent by reference to the drawings and by reading the detailed description that follows.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

20 The disclosed technology is illustrated by way of example and may be better understood by referring to the following description in conjunction with the accompanying drawings, in which like references indicate similar elements and in which:

FIG. 1 is a diagram of one embodiment of an operating environment suitable for practicing the present invention;

25 FIG. 2 is a diagram of one embodiment of a computer system suitable for use in the operating environment of FIG. 1;



FIG. 3 is a diagram of a client computer and a server computer executing an embodiment of the present invention within the operating environment of FIG. 1;

FIGs. 4A, B and C are flowcharts of a method performed by the server computer of FIG. 3;

5 FIGs. 5A, B and C are flowcharts of a method performed by the client computer of FIG. 3;

FIGs. 6A and B illustrate clipboard use by the client computer of FIG. 3; and

FIG. 7 is a data structure diagram of one implementation of a secure document package exchanged between the client and server computer of FIG. 3.

## 10 DETAILED DESCRIPTION OF EMBODIMENTS OF THE INVENTION

In the following description of an embodiment, reference is made to the accompanying drawings that form a part hereof, and in which is shown by way of illustration a specific embodiment in which the present invention can be practiced. It is to be understood that other embodiments can be utilized and structural changes can be made without departing from the scope of the invention. An embodiment of the disclosed technology, described below, enables a remote computer system user to execute a software application on a network file server.

A limited-use web browser and related security system allows providers of text and images or other content to publish content on a local-area network (LAN) or wide-area network (WAN), such as the World Wide Web (web) and the Internet, while safeguarding their intellectual property against theft, distribution or resale by unauthorized organizations or individuals by controlling use of the content. The invention can be implemented as a stand-alone web browser, or as an add-in security module, such as a plug-in or control, to be embedded into any existing web browser, including general purpose browsers such as Microsoft's Internet Explorer® and Netscape's Navigator®, or proprietary browsers such as that provided by America Online for its subscribers. The

protected content can be displayed in a window within the browser frame or in a separate window having a special control set.

A web (or Internet) browser is a typically a software program having a graphical user interface (GUI) that provides information, including text, images, video, or audio, received from a remote source, such as a LAN or WAN server. In combination with a windowing operating system such as Windows 95, Windows 98, Windows NT, MacIntosh System 8.0, or X-Windows running under UNIX, a standard web browser provides general data functions including file saving, file copying, printing, copying and pasting data to and from a shared application memory (commonly referred to as a "clipboard"), and screen capturing or printing. The limited-use web browser/add-in security module of the present invention reads and displays any viewable web content including text, images, and streaming audio and video just as with a normal web browser but limits the user to an ephemeral-only view of the information, such as displaying text, images, or video on a computer monitor, or providing other ephemeral output such as audio through a sound card and speakers attached to the computer. Ephemeral output, including view-only output, is visual or audio output that cannot be electronically reproduced or otherwise communicated by a computer system.

Any format that can be displayed via the Internet, such as web graphics, common gateway interface (CGI) scripts, JAVA scripts, can be secured in a "view-only" mode, including hyper-text markup language (HTML) files, Adobe Acrobat PDF files, ActiveX controls, and Microsoft Rich Text Format (RTF) components. Other functionality includes the ability to secure, in view-only mode, non-web based files, such as Microsoft Office Excel, Word, and PowerPoint files. The term "document" is used to refer in general all such content, in addition to its common usage with regard to a text file, and one of skill in the art will readily discern the proper meaning based on the context in which the term is used. Furthermore, the terms "provider," "content provider," "publisher" and "author" are used to refer to the owner of such content (or to an entity

which is authorized to control such content), while the term “vendor” is used to refer to the developer of the limited-user browser and security system of the present invention.

The present description is divided into five sections. The first section describes one embodiment of an operating environment in conjunction with which embodiments of the invention may be practiced and presents various business models enabled by the invention. The second section provides a system overview of one embodiment of the invention. The third section describes methods performed by the embodiments of the invention in the overview section. The fourth section discloses a particular implementation of the invention. The fifth section presents a conclusion for the detailed description.

### Operating Environment

The following description of FIG. 1 is intended to provide an overview of computer hardware and other operating components suitable for implementing the invention, but is not intended to limit the applicable environments. One of skill in the art will immediately appreciate that the invention can be practiced with other computer system configurations, including hand-held devices, multiprocessor systems, microprocessor-based or programmable consumer electronics, network PCs, minicomputers, mainframe computers, and the like. The invention can also be practiced in distributed computing environments where tasks are performed by remote processing devices that are linked through a communications network.

FIG. 1 shows several computer systems **101** that are coupled together through a network **103**, such as a local-area network or the Internet. The term “Internet” as used herein refers to a network of networks which uses certain protocols, such as the TCP/IP protocol, and possibly other protocols such as the hypertext transfer protocol (HTTP) for hypertext markup language (HTML) documents that make up the World Wide Web (web). The physical connections of the Internet and the protocols and communication

procedures of the Internet are well known to those of skill in the art. Access to the Internet **103** is typically provided by Internet service providers (ISP), such as the ISPs **105** and **107**. Users on client systems, such as client computer systems **121**, **125**, **135**, and **137** obtain access to the Internet through the Internet service providers, such as ISPs **105** and **107**. Access to the Internet allows users of the client computer systems to exchange information, receive and send e-mails, and view documents, such as documents which have been prepared in the HTML format. These documents are often provided by web servers, such as web server **109** which is considered to be "on" the Internet. Often these web servers are provided by the ISPs, such as ISP **105**, although a computer system can be set up and connected to the Internet without that system being also an ISP as is well known in the art.

The web server **109** is typically at least one computer system which operates as a server computer system and is configured to operate with the protocols of the World Wide Web and is coupled to the Internet. Optionally, the web server **109** can be part of an ISP which provides access to the Internet for client systems. The web server **109** is shown coupled to the server computer system **111** which itself is coupled to web content **110**, which can be considered a form of a media database. It will be appreciated that while two computer systems **109** and **111** are shown in FIG. 2, the web server system **109** and the server computer system **111** can be one computer system having different software components providing the web server functionality and the server functionality provided by the server computer system **111** which will be described further below.

Client computer systems **121**, **125**, **135**, and **137** can each, with the appropriate web browsing software, view HTML pages provided by the web server **109**. The ISP **105** provides Internet connectivity to the client computer system **121** through the modem interface **123** which can be considered part of the client computer system **121**. The client computer system can be a personal computer system, a network computer, a Web TV system, a mobile phone (e.g. a cellular telephone) having a processing system, or other

such computer system. Similarly, the ISP 107 provides Internet connectivity for client systems 125, 135, and 137, although as shown in FIG. 1, the connections are not the same for these three computer systems. Client computer system 125 is coupled through a modem interface 127 while client computer systems 135 and 137 are part of a LAN.

5 While FIG. 2 shows the interfaces 123 and 127 as generically as "modem" 203, it will be appreciated that each of these interfaces can be an analog modem, ISDN modem, cable modem, satellite transmission interface (e.g. "Direct PC"), or other interfaces for coupling a computer system to other computer systems. Client computer systems 135 and 137 are coupled to a LAN bus 133 through network interfaces 139 and 141, which can be  
10 Ethernet network or other network interfaces. The LAN bus 133 is also coupled to a gateway computer system 131 which can provide firewall and other Internet related services for the local area network. This gateway computer system 131 is coupled to the ISP 107 to provide Internet connectivity to the client computer systems 135 and 137. The gateway computer system 131 can be a conventional server computer system. Also, the  
15 web server system 109 can be a conventional server computer system.

FIG. 2 shows one example of a conventional computer system that can be used as a client computer system or a server computer system or as a web server system. It will also be appreciated that such a computer system can be used to perform many of the functions of an Internet service provider, such as ISP 105. The computer system 201  
20 interfaces to external systems through the modem or network interface 203. It will be appreciated that the modem or network interface 203 can be considered to be part of the computer system 201. This interface 203 can be an analog modem, ISDN modem, cable modem, token ring interface, satellite transmission interface (e.g. "Direct PC"), or other interfaces for coupling a computer system to other computer systems. The computer  
25 system 201 includes a processor 205, which can be a conventional microprocessor such as an Intel Pentium microprocessor or Motorola Power PC microprocessor. Memory 209 is coupled to the processor 205 by a bus 207. Memory 209 can be dynamic random

access memory (DRAM) and can also include static RAM (SRAM). The bus 207 couples the processor 205 to the memory 209 and also to mass memory 215 and to display controller 211 and to the input/output (I/O) controller 217. The display controller 211 controls in the conventional manner a display on a display device 213 which can be a cathode ray tube (CRT) or liquid crystal display. The input/output devices 219 can include a keyboard, disk drives, printers, a scanner, and other input and output devices, including a mouse or other pointing device. The display controller 211 and the I/O controller 217 can be implemented with conventional well known technology. A digital image input device 221 can be a digital camera which is coupled to an I/O controller 217 in order to allow images from the digital camera to be input into the computer system 201. The mass memory 215 is often a magnetic hard disk, an optical disk, or another form of storage for large amounts of data. Some of this data is often written, by a direct memory access process, into memory 209 during execution of software in the computer system 201.

It will be appreciated that the computer system 201 is one example of many possible computer systems which have different architectures. For example, personal computers based on an Intel microprocessor often have multiple buses, one of which can be considered to be a peripheral bus. Network computers are another type of computer system that can be used with the present invention. Network computers do not usually include a hard disk or other mass storage, and the executable programs are loaded from a network connection into the memory 209 for execution by the processor 205. A Web TV system, which is known in the art, is also considered to be a computer system according to the present invention, but it may lack some of the features shown in FIG. 2, such as certain input or output devices. A typical computer system will usually include at least a processor, memory, and a bus coupling the memory to the processor.

It will also be appreciated that the computer system 201 is controlled by operating system software which includes a file management system, such as a disk operating

system, which is part of the operating system software. One example of an operating system software with its associated file management system software is the operating system known as Windows '95 from Microsoft Corporation of Redmond, Washington, and its associated file management system, including Windows Explorer. The file management system is typically stored in the mass memory **215** and causes the processor **205** to execute the various steps required by the operating system to input and output data and to store data in memory, including storing files on the mass memory **215**.

### System Overview of the Invention

In this and following sections, the invention is described in terms of computer-executable instructions, such as program modules, being executed by a computer system, such as client computer **121** and server computer **111** in FIG. 1. Generally, program modules include routines, programs, objects, components, data structures, etc., that perform particular tasks or implement particular abstract data types. In a distributed computing environment, program modules can be located on both local and remote memory storage devices.

One embodiment of the present invention is described in conjunction with an example illustrated in FIG. 3. In this embodiment, the invention is divided into three components that work together to enable web publishers to safeguard all or any portion of a web site.

The first component is the limited-use web browser (or add-in security module) executing on a client, such as the client computer system **121**. The second component is a server security module executing on a server, such as the server computer system **111**, that handles the distribution of web content over a LAN or a WAN. The third component comprises two security models for protecting the content: an "individual" security model that uses a secure document package, and a "common" security model that uses encryption. The security models have both client and server elements.

In one embodiment, the secure document package is a dynamically compiled executable that combines web content data with the ability to control and manipulate it. The server security component builds the secure document package and the limited-use browser/add-in security module runs it. In one embodiment of the common security  
5 model, the server security component creates and transmits a stream of encrypted content to the client computer, and the limited-user browser/add-in security module decrypts the content and displays it. The individual security model is most useful for distinct and self-contained static content, while the common security model is most useful for securing web pages and entire web sites without burdening the publisher with security details.  
10 Both security models can be used separately or in combination with each other and are described in detail in the next two sections.

Turning now to the example shown in FIG. 3, a server computer **301** for a content provider is executing the server component **302** of the security system. All interactions between the server component **302** and server computer **301** are through a secure  
15 communications channel.

In the present example, the content provider has used the individual security models to secure the pages on a web site. A user of the client computer **311** requests **313** a document **303** from the server **301** through the limited-use browser **312**. The browser **312** sends a client key **314** to the server computer **301**, either as a part of the request **313**  
20 or via socket communication as is well known in the art. When the user request **313** is received by the server computer **301**, the server component **302** determines if a client key is associated with the request. If the key **314** is not present, the request is immediately rejected.

Assuming the client key **314** has been transmitted by the client computer **311**, the  
25 server component **302** verifies the validity of the key **314**. In the embodiment shown in FIG. 3, the key is checked **314** against a local invalid key store **304**, which is a list of stolen or problem keys monitored by the server component **302**. In a further embodiment



not shown, the server component **302** also checks the key **314** against a global invalid key store maintained by a third-party, such as the vendor of the security system. In one embodiment, the key can merely specify to the server **301** that the browser is a limited-use browser; the key can specify this information and a serial number of the browser. If  
5 the key **314** is verified, the server component **302** performs the appropriate security functions depending on the security model used to protect the document **303** and transmits a web page **307** containing the secured document to the server computer **301** for downloading to the client computer **311**. In the present example, the web page **307** contains an security document package **306** comprising the document **303** plus any  
10 security restrictions the content provider has set. A format for one embodiment of the security document package is described in more detail in the fourth section.

Once the web page **307** is downloaded to the client computer **311**, the secure document package **306** is cached on local storage **315**. The limited-user browser **312** executes the secure document package **306** to access the document **303** but prevents the  
15 user from saving, printing, dragging, or copying the document **303** to any other medium.

When a document is secured using the common security model, the server component **302** encrypts the document prior to downloading it, and the limited-user browser **312** decrypts the data for viewing only.

The system level overview of the operation of an exemplary embodiment of the  
20 invention has been described in this section of the detailed description. While the invention is not limited to any particular network configuration, for sake of clarity a simplified wide-area network with a single client and single server has been described. The invention is applicable to other configurations such as one server and multiple clients or several servers and several clients.

25 The limited-use browser and related security system allows the publication of information on a network without fear of re-distribution. In addition, the invention

enables the publisher to require compensation when a user uses the information, thus creating new ways of doing business on public networks such as the Internet.

For example, the invention eliminates the need for “thumbnail” size or low-resolution previews of images or media for online sales, distribution or advertisement via the web. Instead, with the use of the invention, the entity selling visual content (images, movies, etc.) can display a preview of the full size high-resolution version of the content. When the user visits the web site through the limited-user browser/add-in security module, the user sees the exact content to be purchased since the security system protects the seller’s valuable content from unauthorized re-distribution.

Similarly, the invention enables publishers of proprietary text (books, reports, scientific papers, etc.) to sell complete full text versions of their material online, without worrying about re-distribution. The selling entity can protect and then display, for online reading, the complete text of the material, and a user running the limited-use browser/add-in security module will be able to purchase and read a digital full-text copy of a book. Because the limited-use browser/add-in security module localizes the purchased content to a user’s computer, the user will not be able to re-distribute the copy of the digital book outside his/her own computer system. Thus, online bookstores can literally be online bookstores through the use of the invention.

Additionally, the invention can enforce a requirement that a user must download content from the web site of origin. The limited-use browser/add-in security module ensures that one user cannot e-mail any portion of the content to another user(s), but rather can only e-mail the address (URL) of the originating web page. As a result, all users that want to download the content must go to the originating web site and be counted as measurable traffic for advertising purposes. For example, currently when a user sees a news story on a web site, the user can copy and paste the story into an e-mail client, and e-mail the story to another user. Unless the second user goes to the originating site, the user will not see the site’s advertising and is not counted as site traffic. With the

invention, the first user can send only the originating URL to the second user, thus requiring the second user to visit the originating web site and be counted if the second user wants the content.

Typically, compensation can be paid to the publisher when the content is downloaded by the end-user. Alternatively, if the end user acquires the limited user browser/module from the publisher, the publisher can also be compensated. The compensation can take the form of a one-time fee for the browser/module, a subscription fee for content and updates, a per-session fee that covers all content downloaded during a single connection session, or a per-access applied each time a piece of content is downloaded. The publisher can also choose to permit normally disabled user functions on the content upon payment of a special fee (thereby allowing these normally disabled functions to be used). Thus, for example, a transaction between a user/client system and a server system can enable certain, or all, of the normally disabled user functions upon some compensations from the user/client to the publisher. The compensation can be a form of payment, or can be the user's agreement to allow certain advertising to be provided to the user, or can be some information about the user that is provided by the user. The result of the transaction would allow the normally disabled user functions to be enabled for this publisher's content but not other publisher's content. Thus, the browser in this case has different functionality depending on whether the content is protected, and even if it is protected, whether the user has additional "privileges" because of a transaction between the user and the publisher and/or server.

Moreover, the limited-user browser/add-in security module and related security system of the present invention provides for compensation to the developer of the browser and security system. In one embodiment, the developer sells the server security component to the publisher. In an alternate embodiment, the developer also sells a number of copies of the limited-user browser/module to the publisher for downloading by end-users. The sales price for the server security component can be a one-time fee or

based on usage. The sales price for the copies of the browser/module can be on a per-copy basis when a copy is downloaded. Alternatively, the price of the security system and copies can be based on the advertising revenue obtained by the publisher as a result of site traffic for content protected by the invention. Additional compensation models  
5 will be readily apparent to one skilled in the art and are considered within the scope of the invention.

### Methods of the Invention

In the previous section, a system level overview of the operations of an exemplary  
10 embodiment of the invention was described. In this section, the particular methods performed by the server and the clients of such an exemplary embodiment are described by reference to a series of flowcharts. The methods to be performed by the clients normally constitute computer programs made up of computer-executable instructions. Describing the methods by reference to a flowchart enables one skilled in the art to  
15 develop such programs including such instructions to carry out the methods on suitable computerized clients (the processor of the client computers executing the instructions from computer-readable media). Similarly, the methods to be performed by the server constitute computer programs also made up of computer-executable instructions. Describing the methods by reference to flowcharts enables one skilled in the art to  
20 develop programs including instructions to carry out the methods on a suitable computerized server (the processor of the server computer executing the instructions from computer-readable media).

The server security component **302** acts in conjunction with the server computer **301** to create a server digital processing system performing the server methods described  
25 in detail later in this section in conjunction with FIGs. **4A-C**. The server digital processing system handles user authentication, pre-processes requests for content, and performs the necessary security on the response data, depending on the security model

chosen for the content. When a request is made for a secure file or page, server digital processing system first determines if the client computer is executing the limited-use browser or add-in security module and is making a valid request. If either condition is false, the server digital processing system denies the request or provides an alternate, non-secure file or page (where the content is not protected and hence can be reproduced). If the request is valid, the server digital processing system creates the secure content and instructs the server computer to serve it to the client computer.

On the client side, the limited-user browser/add-in security module **312** executing on the client computer **311** form a client data processing system performing the client methods described in detail later in this section in conjunction with FIGs. **5A-C**. A client computer executing a general purpose browser without the add-in security module is typically prevented from accessing the secured portion of the web site. A limited-use browser, or a general-purpose browser equipped with the limited-user add-in security module, is able to view the secured content, but is not able to save, print, drag, or copy the content to any other medium.

The server and client digital processing systems rely on one or more of the following techniques to prevent the unauthorized copying of web content.

1. Window Subclassing. The client digital processing system intercepts and processes messages sent or posted before the destination window has a chance to process them. By subclassing a window, the client can monitor the behavior of the window, including key strokes input into the window. If the limited-use browser or add-in security module is not the foreground application, a message for an unauthorized function is discarded or the content is hidden from view.

2. Clipboard Flushing. This technique stops a user from sending a screen-capture to the clipboard by continuously destroying the contents of the clipboard while web browser is the active application. This technique also prevents background applications from copying the screen contents to the clipboard.

3.       Disabling of Browser functions. The limited-use browser on the client computer typically is implemented with no menu items, keystrokes, or mouse actions that can copy, save, or print or otherwise produce a non-ephemeral reproduction. There can optionally be selective control or activation of these functions embedded in a secure document package as explained below. So, for example, if a web content owner authorizes a web page to be printed but not saved, the print function can be made available to the user, or if a particular user is allowed by a particular content owner to produce non-ephemeral reproductions (e.g. the user's limited-use browser has a particular serial/identification number that the content owner identifies as an authorized number for certain types of non-ephemeral reproductions), the corresponding functions can be activated.

4.       Source Code Encryption. The HTML source code is encrypted by the server digital processing system using a system level encryption (SLE) key, and the client digital processing system does not allow viewing or saving unencrypted HTML source code.

5.       User Level Encryption. A unique identifier called the ULE key is created when the limited-use browser or add-in security module is installed on the client computer. Downloaded content is localized to the client digital processing system by encrypting the content with the ULE key. The ULE key is created either directly or algorithmically from a machine ID for the client computer.

6.       Secure Document Package. A secure document package is composed of a document manager and one or more web pages, each of which is encrypted with the ULE. To decrypt the package, it is necessary to know where to break up the individual pages before attempting the decrypt the file and even then encryption makes the content unusable to anyone but the owner of the machine with the client registered with the unique ULE key.

7.       Disabling “Drag & Drop”. The ability to “drag & drop” an image or object within a web page is not available in the limited-use browser or a browser equipped with the add-in security module.

8.       Secure Cache Content. All web content downloaded and stored on the client computer in the course of browsing the web, known as cached content, is secured from the user through encryption.

9.       Device Context Monitoring. Device Context Monitoring checks the context for each input/output device against the secured image or text to determine if protected content is being accessed by the device.

#### 10       *Server Methods*

FIGs. **4A-C** are flowcharts of one embodiment of the method executed by a server digital processing system. In FIG. **4A**, the server system receives secured content from a content provider (block **401**) and stores the content in a storage medium (step **403**). At some later point in time, a client computer requests the secured content from the server system (block **405**). The server system determines if the client computer is running the limited-use browser/add-in module by verifying the client ULE (block **407**) and denies access if it is not (block **411**). If the client computer is running the limited-use browser/module, the server system generates a secure HTML page corresponding to the requested content and sends the page to the client system (block **409**). In an alternate embodiment not shown, the server transmits a substitute web page (e.g. a non-protected version of the content which presumably has less content than the protected version).

FIG. **4B** is a flowchart of one embodiment of the process represented by block **401**. In this embodiment, the content provider installs the server security component on the server computer (block **421**). The content provider determines what documents are to be protected (block **423**). Each document to be protected under the common security model (block **425**) is marked for later encryption with the system level encryption key (SLE) (block **427**). If the document is to be protected under the individual security

model, the server security component builds a secure document template associated with the document (block 429). In one embodiment, the same computer is used to create the secure content and to serve it to the client. In an alternate embodiment, the computer used to serve the content is separate from the computer used to create the secure content, although both are owned by the content provider. In still another embodiment, the computer that serves the content is not owned by the content provider.

FIG. 4C illustrates one embodiment of the process represented by block 409. The server system handles the client request and responds differently depending on the security model the publisher has chosen for any particular content. If the client request is for a secure document template (block 441), the content provider has chosen the individual security model for this particular content. In this case, the server system processes the request by dynamically assembling all of the components such as text, images and files, described by the template, along with the secure document manager into a self-contained secure document package and encrypts each component with the client ULE (block 443).

In one embodiment, the secure document package is in the form of an object, such as provided by one of the common object models, COM or CORBA. The secure document object (SDO) is comprised of the encrypted content, along with information about the content, including user access properties and optional restrictions based on date, access and network connection. The document manager is a shared library, that is loaded into memory by the client and accessed through the SDO's exposed interface. Having the data embedded separately in the object allows the object to manage itself, conditionally allow access, and to destroy itself if the object has expired (if an expiration date is one of the exposed properties). The result of the processing at block 443 is a file that contains encrypted content plus all the functionality of a component architecture, including methods to access exposed methods, properties and events.



If the client request is for a web page, file or other content, then the server system handles security for the response just before the content is returned to the client. At this point, with the content accessed but before sending it back to the client, the server system encrypts the content with the SLE (block 445).

5        *Client Methods*

FIGs. 5A-C are flowcharts of the method executed by the client digital processing system. The client system requests protected content from a server system via a network connection, such as through an ISP when the server system is on the Internet (block 501).

The client system receives the requested content from the server system as either  
10 encrypted HTML content or secured document package, depending on the security imposed by the content provider (block 503) and displays it within a window of the limited-use browser (or through the add-in security module, which is also referred to as a browser window) (block 505). The client system monitors activity on the client computer while the content is in the browser window (blocks 507 and 509) and responds  
15 appropriately (block 513) as described in detail below. The client system also periodically clears the clipboard while the content is present (block 515). When the user requests and receives unprotected content, the limited-use browser/module performs as a regular full-use browser (e.g. capable of the normal non-ephemeral reproduction functions such as copying/pasting, saving to disk, printing, etc.)

20        As part of the request for content at block 501, the client system also transmits its ULE key to the server system. The server system uses the client ULE key to encrypt the content as previously described. All protected content downloaded and stored on the client system (cached content) is also secured using the ULE key so it cannot be transferred to another computer (represented by block 503).

25        FIG. 5B illustrates one embodiment of the process represented at block 505. The client system determines whether the content is embedded in an SDO or encrypted with the ULE (block 521). Embedded content is extracted from the SDO (block 523) while

encrypted content is decrypted (block 527). While the protected content is being displayed, printing, source code viewing and file saving functionality in the limited-user browser are disabled by modifying “user-interface objects” associated with standard menu items, pop-up menu items, toolbar buttons, and accelerator keys that are capable of generating commands that modify content (block 529). Each user-interface object has an identifier (ID) and through ID mapping, the client system can attach code or change the functionality of the object or calls to the object. By this means, the client system disables calls to specified toolbar buttons that call printing functionality, or display the file’s source to the user, or allow saving of the file. This includes disabling and graying out the following menu items in the limited-use browser: Print..., Page Setup, Save, Save As..., View Source, Save Picture As..., Set as Wallpaper and Copy. The SDO can also contain change the default disablement of certain functions (block 525).

The method executed by client digital processing system employs subclassing and device context monitoring to monitor the activity on the underlying computer at block 513 and illustrated in detail in the flowchart of FIG. 5C.

As well known in the art, subclassing is a technique that allows an application to intercept messages destined for another window. When an application subclasses a window, it can perform three actions with the message: (1) pass the message to the original window procedure; (2) modify the message and pass it to the original window procedure; (3) not pass the message. The application subclassing a window can decide when to react to the messages it receives. The application can process the message before, after, or both before and after passing the message to the original window procedure. Subclassing methods are used to hide the secure view by intercepting and handling activate messages for the window, and to interrupt screen copying by intercepting and handling keyboard messages from the user.

A windows message handler monitors window activation and deactivation (block 531). The method performed by the windows message handler is described with

reference to messages for the Microsoft Windows operating system but the corresponding messages in other windowing operating systems will be immediately apparent to one skilled in the art. The windows message handler intercepts a WM\_ACTIVATE message for the window containing the content (block 533). If the parameter of the activate message is WA\_INACTIVE (block 535), then the window has lost focus and the view content is hidden (block 537). The view can be hidden by painting the view background a solid color, clearing the contents of the view, or covering the view with a blank form view called a "view cover." If the WM\_ACTIVATE message parameter is not WA\_INACTIVE (ex.: WA\_ACTIVE or WA\_CLICKACTIVE), then the window has focus and the view content is displayed by refreshing the view or hiding the view cover (block 539).

The keyboard message handler (block 541) monitors keystrokes and filters out single keys or combinations of keystrokes that have not be redefined by remapping IDs as described previously but are to be disabled (block 543). Such disallowed actions are blocked (block 549). In one embodiment of block 549, the keystrokes are ignored. In an alternate embodiment, an error message is also displayed.

All graphical and text output on the client computer is passed through a device such as a monitor or printer, or manipulated with a pointing device such as a mouse. Each active device is allocated a device context that manages the current data being processed. By monitoring all allocated device contexts (block 545), the client digital processing system can determine if the context of a device matches a secured image or text (block 547) and block disallowed actions (block 549). For example, if the disallowed action is printing or dragging-and-dropping, the action can be blocked by overwriting the device content. In one embodiment, the client analyzes the size, compares the pixel values, and/or performs pattern matching to determine if the secured image or text is being accessed by the device.

Turning now to FIG. 6A-B, the clipboard monitor process of block 515 is described. As shown in FIG. 6A, the most common means of inter-application communication is the clipboard. The process can be initiated by a user or an application. When a user requests a copy or print-screen operation to place data in the clipboard 601, the application 603 makes API calls to empty the clipboard 601 and then to send data to the clipboard 601. When the user makes a paste request, the receiving application 605 enumerates the formats of the data in the clipboard and, having found one which is applicable, transfers the data.

The clipboard monitor watches the clipboard contents for any change. As shown in FIG. 6B, one embodiment of the disclosed clipboard monitor 607 frequently monitors the contents of the clipboard 601 and deletes any contents if they are present. This prevents background processes from using the clipboard to copy view-only content while the limited-user browser has the focus and is displaying protected content as the foreground application. Monitor frequency increases with consecutive clipboard usage detection.

The particular methods performed by client and server data processing systems of an exemplary embodiment of the invention have been described. The methods performed by the server have been shown by reference to flowcharts in FIGs. 4A-C including all the acts from 401 until 445. The methods performed by the client have been shown by reference to flowcharts in FIGs. 5A-C including all the acts from 501 until 550. An additional client method has been described with reference to functional diagrams in FIGs. 6A-B.

### **An Implementation of the Invention**

In this section of the detailed description, a particular implementation of the invention is described including authentication and event handling, and a data structure for the secure document package.

### *Server Authentication and Event Handling*

The server security component filters web client authentication, and web server request and response events. The server determines if a request from a particular client is applicable and the client digital processing system is running the limited-user browser/module. The server security component intercepts specific web server events and imposes a secure framework on the processing of web content. The server security component provides enhanced logging capabilities, custom authentication, and response encryption through web notification filters. One embodiment of the server security component authenticates clients and processes requests for content by being linked directly to an Internet server through an ISAPI/NSAPI (Internet Server Application Programming Interface /Netscape Server Application Programming Interface) filter or as an integrated part of an Internet web server. The filters are described in terms of HTTP commands that are well-known in the art.

When **CHttpFilter::HttpFilterProc** is called, the notifications received will determine which of the **CHttpFilter** member functions will be called. The invention overrides five **HttpFilterProc** member functions to add functionality to the web server. For example, sever security component adds encryption by overriding the **OnSendRawData** member function and processing the data that is being sent back to the client. The following is a list of other functions the invention filter overrides to provide a secure framework for web content:

**OnAuthentication** authenticates the client. The server can authenticate users independent of Internet/web server or operating system security. Authentication allows the publisher to set selective access to secure content.

**OnSendRawData** notifies the filter before raw data is sent from the server to the client. If the publisher has tagged a page using the common security model, then the raw data being sent back to the requesting client will be encrypted before responding.

**OnLog** logs information to a server file.

### *Common Security Model*

One implementation of the disclosed common security model transmits a stream of encrypted text from a web server, either through an ISAPI/NSAPI filter or other integrated server module. The common security model is typically used to secure individual text or image elements, either individual web pages or entire web sites, by encrypting the response content from an Internet/web server. The server security component validates client request as previously described, and, upon validation, intercepts the Internet/web server's response and encrypts the outgoing data.

The common security model uses a single encryption key for all content, as compared to the individual security model which uses a key unique to each client and prevents other users from accessing the secured content, as described next. The common security model allows the publisher to tag specific files/pages for secure or non-secure responses. This gives the publisher the ability to selectively secure any content.

### *Individual Security Model*

As previously described, the individual security model uses a secure document package or object that contains the web page, files or other content requested by the client, along with a document manager. A data structure for one embodiment of an SDO 700 is illustrated in FIG. 7.

When a limited-user browser/module displays the secured content embedded in the SDO, it invokes one or more of the object's methods described in detail later in this section. As described above, the SDO is preferably a set of text, files, images or pages that a content provider has grouped together and encapsulated in one object using the server component. The SDO is divided into three sections: a delivery object **701** which is the DLL binary for the document manager, a document package header **703** that describes the content data, size, restrictions, etc, and the document data **721** containing the content for one or more individual files, pages, pieces of text, image or any singular part of the secured content (DocumentData **731**). The document package header **703** maintains a file

index entry **720** for each instance of DocumentData **731** in the document data section **721**. In the present implementation, the SDO is downloaded to the client computer as a compressed file. When opened or loaded by the limited-use browser/module, the file is decompressed into a DLL file and loaded dynamically.

5       The limited-user browser/module queries the SDO through a DeliverDPH (Deliver Document Package Header) method as described further below, which returns the package content information properties shown in FIG. 7.

PackageID **704** is a globally unique identifier (GUID) for the secure document package that can be logged by the content provider and, optionally, at a central location  
10   such as the vendor of the security system. PackageOpenRestriction **705** defining the number of times package can be opened before the document manager disables the package. A PackageExpirationDate **706** for the entire package. In the present implementation, the expiration date is read-only and is initialized before the SDO is assembled on the server. PackageSecurityType **707** that defines whether the  
15   DocumentData **731** is encrypted or not.

The content provider can require it be alerted when the SDO is accessed. A PackageAlert flag **708** is set to TRUE if publisher wants to receive an alert. PackageAlertFrequency **709** defines how often the SDO will alert the publisher if the PackageAlert flag **708** is TRUE. PackageAlertURL **710** containing a destination uniform  
20   resource locator (URL) for the alert and PackageAlertMessage **711** contains the alert text. When these properties are set, the SDO checks for an open network connection on the user's workstation and send a message to the specified URL. This allows the server component to track downloaded objects.

If NetworkRequired **712** is set to TRUE, the limited-user browser/module is  
25   required to be connected to the Internet anytime this SDO is accessed. If no connection is found, the limited-user browser will not display the content. Once online and connected

to the originating server, the SDO tells the server who is viewing the content and in this way the content owner has a way of tracking usage.

A DocumentDataReady flag **713** is set by document manager when the DocumentData **731** can be accessed, i.e., decompressed and/or decrypted. A Paginated flag **714** set to TRUE indicates that the contents of document data section **721** are accessible by page numbers.

Each instance of DocumentData **731** is described through a series of properties, which are returned as a result of the DeliverDPH method. DocumentID **722** contains a unique identifier for the associated DocumentData **731**. DocumentOpenRestriction **723** defines the number of times this content can be accessed. DocumentExpirationDate **724** contains a date after which this particular piece of content can no longer be accessed. DocumentName **725** is the text name for the associated DocumentData **731**. DocumentFileType **726** contains the type of the associated DocumentData **731**. PageNumber **727** is the page number of the DocumentData **731** (if the document data section **721** is paginated). ByteLengthBComp **728** contains the byte length of the associated DocumentData **731** before compression, while ByteLength **729** contains the actual length of the content. A SourceOverload flag **730** permits the limited-user browser/module to seek newer content on the original server. This is especially useful for updating images without having to build a new secure document template on the server. DocumentData **732** contains the actual protected content.

The present implementation of an SDO provides support for two methods of controlling access to the encapsulated content. A DocumentShow method **741** is used by the limited-use browser/module to access the embedded data. A particular instance of DocumentData **731** is accessed by PageNumber **727**, DocumentID **722**, or on a first-in, first-out basis within the document data section **721** depending on the values of a DocShowType argument and a DocIndex argument for the method. The DeliverDPH (Deliver Document Package Header) method **742** returns the package content information



to the requesting client as described immediately above. Both methods return a success/error code upon execution.

### Conclusion

5 A limited-user browser and related security system has been described. Although specific embodiments have been illustrated and described herein, it will be appreciated by those of ordinary skill in the art that any arrangement which is calculated to achieve the same purpose may be substituted for the specific embodiments shown. This application is intended to cover any adaptations or variations of the present invention.

10 For example, those of ordinary skill within the art will appreciate that only one of the two disclosed security models can be used by the content provider, or that security models providing the same functionality can be substituted without exceeding the scope of the invention. Furthermore, those of ordinary skill within the art will appreciate although portions of the invention have been described in terms of its implementation in a component architecture, such an architecture is not required to practice the invention.

15 The terminology used in this application is meant to include all client-server operating environments. While the invention is described in terms of preferred embodiments in a specific system environment, those of ordinary skill in the art will recognize that the invention can be practiced, with modification, in other and different hardware and software environments. Therefore, it is manifestly intended that this  
20 invention be limited only by the following claims and equivalents thereof.

## CLAIMS

What is claimed is:

- 1 1. An ephemeral-output-only browser.
- 1 2. A system for protecting content distributed through a network comprising:  
2 a client computer operable for connecting to the network and for executing a  
3 client program that limits user control over the content distributed through the network;  
4 and  
5 a server computer operable for connecting to the network and for executing a  
6 security program for securing the content distributed through the network.
- 1 3. The system of claim 2, wherein the client program is an ephemeral-output-only  
2 web browser.
- 1 4. The system of claim 2, wherein the client program is an add-in security module  
2 for executing as part of a standard web browser and wherein user control over  
3 reproduction of the content, in at least one form, is limited.
- 1 5. The system of claim 2, wherein the client program executes as a separate window  
2 in a standard web browser and wherein user control over reproduction of the content, in at  
3 least one form, is limited.
- 1 6. The system of claim 2, wherein the security program distributes the content to the  
2 client computer only when the client computer is executing the client program, in at least  
3 one form, is limited.

1 7. The system of claim 2, wherein the security program secures the content using a  
2 common security model.

1 8. The system of claim 2, wherein the security program secures a portion of the  
2 content using an individual security model.

1 9. The system of claim 2, wherein the client program limits user control over the  
2 content through a technique chosen from the group consisting of:

3 message monitoring, clipboard flushing, function disabling, source code  
4 encryption, content localization, secure document packaging, cache encryption, and  
5 device content monitoring,

6 and wherein user control over reproduction of the content in any non-ephemeral  
7 output manner is prevented.

1 10. A method of enabling a provider to protect content distributed on a network  
2 comprising:

3 acquiring a server security program;

4 executing the server security program on a server computer connected to the  
5 network; and

6 distributing the content only to a client computer executing a limited-user client  
7 program which limits reproduction of the content in at least one form.

1 11. The method of claim 10, further comprising:

2 acquiring a plurality of copies of the limited-user client program; and

3 downloading one of the plurality of copies to the client computer.

1 12. The method of claim 10, wherein distributing the content comprises:

2 obtaining a promise of compensation from a user of the client computer.

1 13. The method of claim 12, wherein the compensation is a one-time fee.

1 14. The method of claim 12, wherein the compensation is a subscription fee.

1 15. The method of claim 12, wherein the compensation is a per-session fee.

1 16. The method of claim 12, wherein the compensation is a per-access fee.

1 17. The method of claim 12, wherein the limited-use client program disables a certain  
2 user function and the compensation comprises a fee for re-enabling the certain user  
3 function.

1 18. The method of claim 17, wherein the certain user function modifies the content.

1 19. The method of claim 17, wherein the certain user function copies the content to a  
2 different medium.

1 20. A method of receiving compensation for a security system for protecting content  
2 distributed on a network comprising:

3 selling a server security program to a content provider; and

4 selling a plurality of copies for a limited-use client program to the content  
5 provider for licensing to users wishing to access the content.

1 21. The method of claim 20, wherein the compensation is received at least from one  
2 of (a) when the server security program is executed by the content provider and (b) when  
3 the content provider licenses one of the plurality of copies.

1 22. The method of claim 20, wherein the server security program distributes the  
2 content to a client system if the client system has a limited-use client program and  
3 wherein the limited-use client program limits reproduction of the content in at least one  
4 way.

1 23. The method of claim 20, wherein the compensation is based on advertising  
2 revenue obtained by the content provider based on advertising displayed in connection  
3 with a user accessing content protected by the security system.

1 24. A method for controlling access to information presented by a web browser  
2 comprising:  
3 presenting content within a browser window of the web browser; and  
4 disabling a disallowed user function when the content is within the browser  
5 window.

1 25. The method of claim 24, wherein disabling the disallowed user function  
2 comprises:  
3 intercepting a message posted to the browser window; and  
4 hiding the content if the browser is not a foreground application.

1 26. The method of claim 24, wherein disabling the disallowed user function  
2 comprises:

3 clearing a commonly shared inter-application memory when the inter-application  
4 memory is accessed.

1 27. The method of claim 24 wherein disabling the disallowed user function  
2 comprises:

3 hiding a user menu selection corresponding to the disallowed user function.

1 28. The method of claim 24, wherein disabling the disallowed user function  
2 comprises:

3 intercepting a keyboard message; and

4 discarding the keyboard message if it corresponds to the disallowed user function.

1 29. The method of claim 24, wherein disabling the disallowed user function  
2 comprises:

3 monitoring a context for a device; and

4 discarding a user action directed to the device when the context matches the  
5 content.

1 30. The method of claim 24, wherein the disallowed user function is one of a plurality  
2 of default disallowed user functions and further comprising:

3 leaving active one of the plurality of default disallowed user functions.

1 31. The method of 30, further comprising providing information with the content that  
2 determines the one of the plurality of default disallowed user functions to be left active.

1 32. The method of claim 24 wherein the disallowed user function is selected from the  
2 group consisting of print, page setup, save, save as, view source, save picture as, set as  
3 wallpaper, copy, screen capture, screen print, cut.

1 33. The method of claim 24 further comprising managing authentication of a web  
2 client.

1 34. The method of claim 24 further comprising processing a request from a web client  
2 for encrypted content.

1 35. The method of claim 24 further comprising creating a unique identifier for a web  
2 client.

1 36. The method of claim 24 further comprising encrypting the content with a key  
2 based on the unique identifier for the web client.

1 37. The method of claim 24 wherein the content comprises user perceivable  
2 information in a hyper-text markup language (HTML) format.

1 38. The method of claim 24 wherein the content comprises user perceivable streaming  
2 information.

1 39. The method of claim 24 wherein the content comprises at least one of video  
2 information and audio information.

1 40. The method of claim 24 wherein the disallowed user function comprises a user  
2 function which, when allowed, provides for non-ephemeral reproduction of the content.

1 41. The method of claim 24 wherein the content comprises user perceivable  
2 information in a scripting language format.

1 42. The method of claim 24 wherein the content comprises user perceivable  
2 information in a common gateway interface (CGI) language format.

1 43. The method of claim 24 wherein the content comprises user perceivable  
2 information in a JAVA language format.

1 44. A computer-readable medium having stored thereon computer executable  
2 instructions to cause a client digital processing system and a server digital processing  
3 system to perform a method comprising:  
4 transmitting content from the server digital processing system to the client digital  
5 processing system over a network;  
6 presenting the content within a browser window on the client digital processing  
7 system; and  
8 disabling a disallowed user function when the content is within the browser  
9 window wherein the disallowed user function comprises a user function which, when  
10 allowed, provides for non-ephemeral reproduction of the content.

1 45. The computer-readable medium of claim 44 wherein disabling the disallowed user  
2 function comprises:  
3 intercepting a message posted to the browser window; and  
4 hiding the content if the browser is not a foreground application.

1 46. The computer readable medium of claim 44 wherein disabling the disallowed user  
2 function comprises:



3 clearing a commonly shared inter-application memory if the inter-application  
4 memory is accessed.

1 47. The computer readable medium of claim 44 wherein disabling the disallowed user  
2 function comprises:

3 hiding a user menu selection corresponding to the disallowed user function.

1 48. The computer readable medium of claim 44, wherein disabling the disallowed  
2 user function comprises:

3 intercepting a keyboard message; and

4 discarding the keyboard message if it corresponds to the disallowed user function.

1 49. The computer readable medium of claim 44, wherein disabling the disallowed  
2 user function comprises:

3 monitoring a context for a device; and

4 discarding a user action directed to the device when the context matches the  
5 content.

1 50. The computer readable medium of claim 44 further comprising instructions to  
2 cause the server digital processing system to manage the authentication of the client  
3 digital processing system.

1 51. The computer readable medium of claim 44 further comprising instructions to  
2 cause the server digital processing system to process a request from of the client digital  
3 processing system for encrypted content.

1 52. The computer readable medium of claim 44 further comprising instructions to  
2 cause the client digital processing system to create a unique identifier for the client digital  
3 processing system.

1 53. The computer readable medium of claim 52 further comprising instructions to  
2 cause the client digital processing system to encrypt the content with a key based on the  
3 unique identifier.

1 54. The computer readable medium of claim 44 wherein the pre-determined function  
2 is selected from the group consisting of print, page setup, save, save as, view source, save  
3 picture as, set as wallpaper, copy, screen capture, screen print, cut.

1 55. A client digital processing system for controlling access to content presented by a  
2 web browser, the client digital processing system comprising:

3 a processor;

4 a network interface logically coupled to the processor to receive the content;

5 a browser logically coupled to the network interface to present the content within  
6 a browser window; and

7 a security module logically coupled to the browser to disable disallowed user  
8 functions when the content is in the browser window wherein the disallowed user  
9 function comprises a user function which, when allowed, provides for non-ephemeral  
10 reproduction of the content.

1 56. The client digital processing system of claim 55, wherein the security module  
2 comprises:

3 a message monitor to intercept a message posted to the browser window; and

4 a browser controller logically coupled to the message monitor to hide the content  
5 if the browser is not a foreground application.

1 57. The client digital processing system of claim 55, wherein the security module  
2 comprises a browser controller that clears a commonly shared inter-application memory  
3 when the inter-application memory is accessed.

1 58. The client digital processing system of claim 55, wherein the security module  
2 comprises a browser controller that encrypts the content.

1 59. A server digital processing system for controlling access to content distributed to  
2 a client digital processing system, the server digital processing system comprising:  
3 a processor;  
4 a network interface logically coupled to the processor to receive a request for the  
5 content from the client digital processing system;  
6 a server module logically coupled to the network interface to distribute the content  
7 to the client digital processing system in response to the request; and  
8 a security module logically coupled to the server module to determine if the  
9 request is from a client digital processing system executing a limited-use client program  
10 which prevents at least one form of non-ephemeral reproduction.

11  
12 60. The server digital processing system of claim 59, wherein the security module is  
13 further operable to:

14 create a secure document object containing the content if the content is protected  
15 under an individual security model; and

16 pass the secure document object to the server module for distribution in response  
17 to the request.

1 61. The server digital processing system of claim 59, wherein the security module is  
2 further operable to:  
3 encrypt the content if the content is protected under a common security model;  
4 and  
5 pass the encrypted content to the server module for distribution in response to the  
6 request.

1 62. A computer-readable medium having stored thereon computer executable  
2 instructions to cause a client digital processing system to perform a method comprising:  
3 receiving protected content from a server digital processing system;  
4 presenting the protected content within a browser window; and  
5 disabling disallowed user functions when the protected content is in the browser  
6 window wherein the disallowed user function comprises a user function which, when  
7 allowed, provides for non-ephemeral reproduction of the content.

1 63. The computer-readable medium of claim 62 further comprising:  
2 intercepting a message posted to the browser window; and  
3 hiding the protected content if the browser is not a foreground application.

1 64. A computer readable medium of claim 62 wherein the disallowed user function is  
2 enabled when content in the browser window is not designated to be protected such that  
3 non-ephemeral reproduction of such content is allowed.

1 65. A computer-readable medium having stored thereon computer executable  
2 instructions to cause a server digital processing system to perform a method comprising:  
3 receiving a request for protected content from a client digital processing system;

4 determining if the request is from a client digital processing system executing a  
5 limited-use client program; and  
6 distributing the protected content to the client digital processing system in  
7 response to the request only if the client digital processing system is executing the  
8 limited-use client program, wherein the limited-use client program prevents at least one  
9 form of non-ephemeral reproduction of the protected content.

1 66. The computer-readable medium of claim 65, further comprising:  
2 creating a secure document object containing the protected content if the content  
3 is protected under an individual security model; and  
4 passing the secure document object to the server module for distribution in  
5 response to the request.

1 67. The computer-readable medium of claim 65, further comprising:  
2 encrypting the protected content if the content is protected under a common  
3 security model; and  
4 passing the encrypted content to the server module for distribution in response to  
5 the request.

1 68. A computer readable medium of claim 65 wherein the limited-use client program  
2 disables a disallowed user function that comprises a user function which, when allowed,  
3 provides for non-ephemeral reproduction of the content.

1 69. A computer readable medium of claim 68 wherein the disallowed user function is  
2 enabled when content is not designated to be protected such that non-ephemeral  
3 reproduction of such content is allowed.

1 70. A computer readable medium of claim 69 wherein non-ephemeral reproduction of  
2 the protected content is allowed after a transaction between the client digital processing  
3 system and the server digital processing system.

1 71. A computer readable medium of claim 70 wherein the transaction comprises at  
2 least one of a compensation to a provider of the protected content or an exchange of  
3 identification of the client digital processing system.

1 72. A computer readable medium having stored thereon a secure document package  
2 data structure comprising:

3 a document package header field containing data representing a description for the  
4 secure document package;

5 a delivery object field containing data representing executable code to manage the  
6 secure document package described by the document package header field; and

7 a document field containing data representing content contained in the secure  
8 document package described by the document package header field.

1 73. The computer readable medium of claim 72, wherein the document package  
2 header field comprises:

3 a package identifier field containing data representing an identifier for the secure  
4 document package.

1 74. The computer readable medium of claim 72, wherein the document field  
2 comprises:

3 a document identifier field containing data representing an identifier for the  
4 content.

1 75. A computer data signal embodied in a carrier wave and encoding a data structure  
2 containing protected content comprising:  
3 a document package header field containing data representing a description for the  
4 secure document package;  
5 a delivery object field containing data representing executable code to manage the  
6 secure document package described by the document package header field; and  
7 a document field containing data representing content contained in the secure  
8 document package described by the document package header field.

1 76. The computer readable medium of claim 75, wherein the document package  
2 header field comprises:  
3 a package identifier field containing data representing an identifier for the secure  
4 document package.

1 77. The computer readable medium of claim 75, wherein the document field  
2 comprises:  
3 a document identifier field containing data representing an identifier for the  
4 content.

1 78. A system for controlling reproduction of content on a client computer comprising:  
2 means for receiving content to be protected; and  
3 means for displaying the protected content on the client computer while  
4 preventing at least one form of reproduction of the content.

1 79. The system of claim 78, wherein the means for displaying comprises:  
2 means for disabling user functions that reproduce the content.

- 1 80. The system of claim 79, wherein the means for displaying further comprises:  
2 means for enabling disabled user functions under pre-determined conditions.
- 1 81. A system for controlling reproduction of content stored on a server computer  
2 comprising:  
3 means for protecting content stored on the server;  
4 means for receiving a request for the protected content; and  
5 means for determining if the request is from a requestor that limits reproduction of  
6 protected content.
- 1 82. The system of claim 81, wherein the means for protecting comprises:  
2 means for creating a secure document object containing the content.
- 1 83. The system of claim 81, wherein the means for protecting comprises:  
2 means for encrypting the content.



## ABSTRACT

A limited-use browser and related security system control access to content stored on a server computer linked to a network. The security system secures the content on the server and only permits it to be downloaded to a client computer running the limited-user browser or a general purpose browser executing an add-in security module providing the same functions as the limited-user browser. The limited-use browser or module secures the downloaded content on the client computer and displays it in a “view-only” mode. While the secured content is being displayed, menu selections, key combination, or pointing device commands initiated on the client computer that would modify the content or create a copy on another medium are either disabled as a default or monitored to determine if the action is permitted.

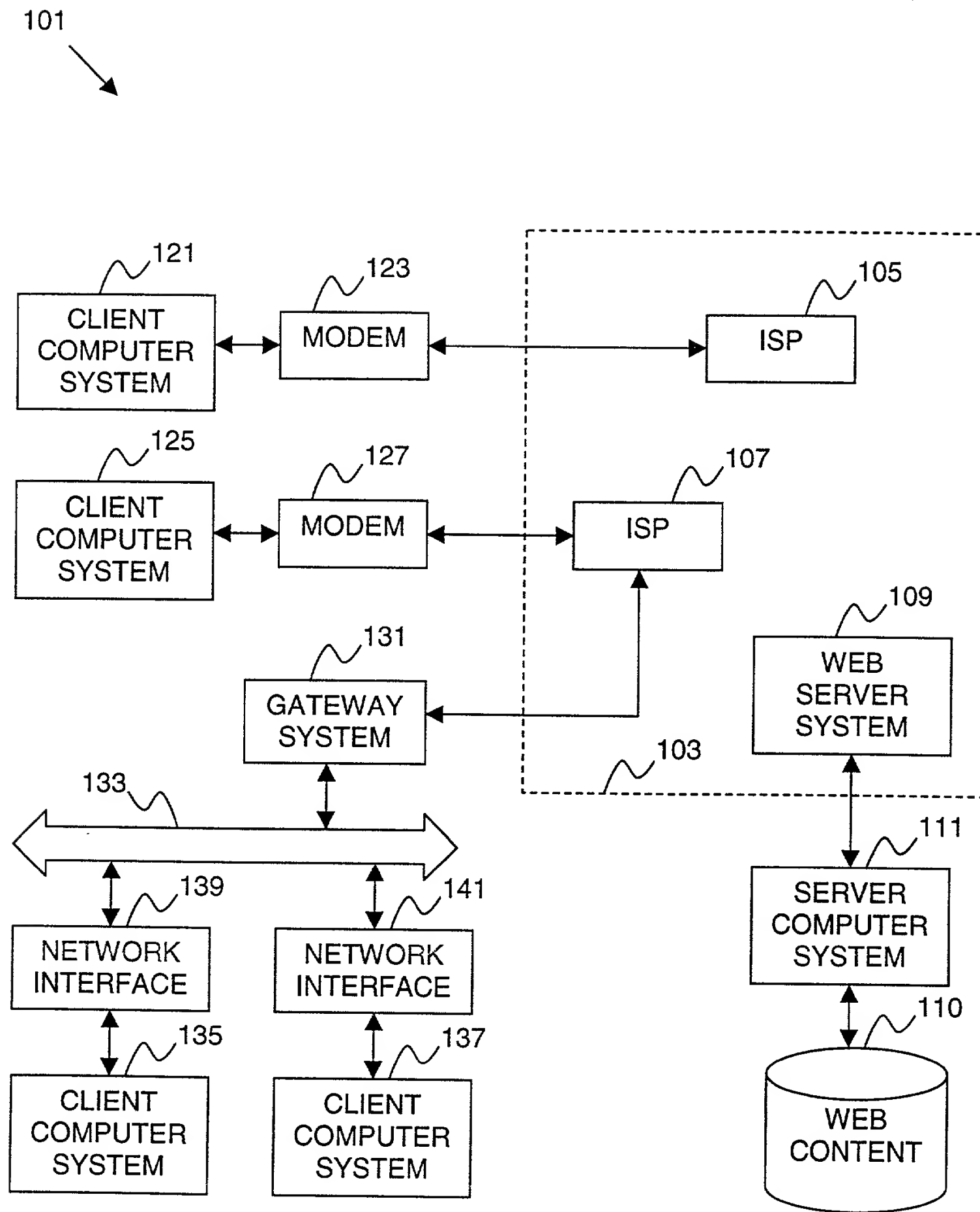


FIG. 1

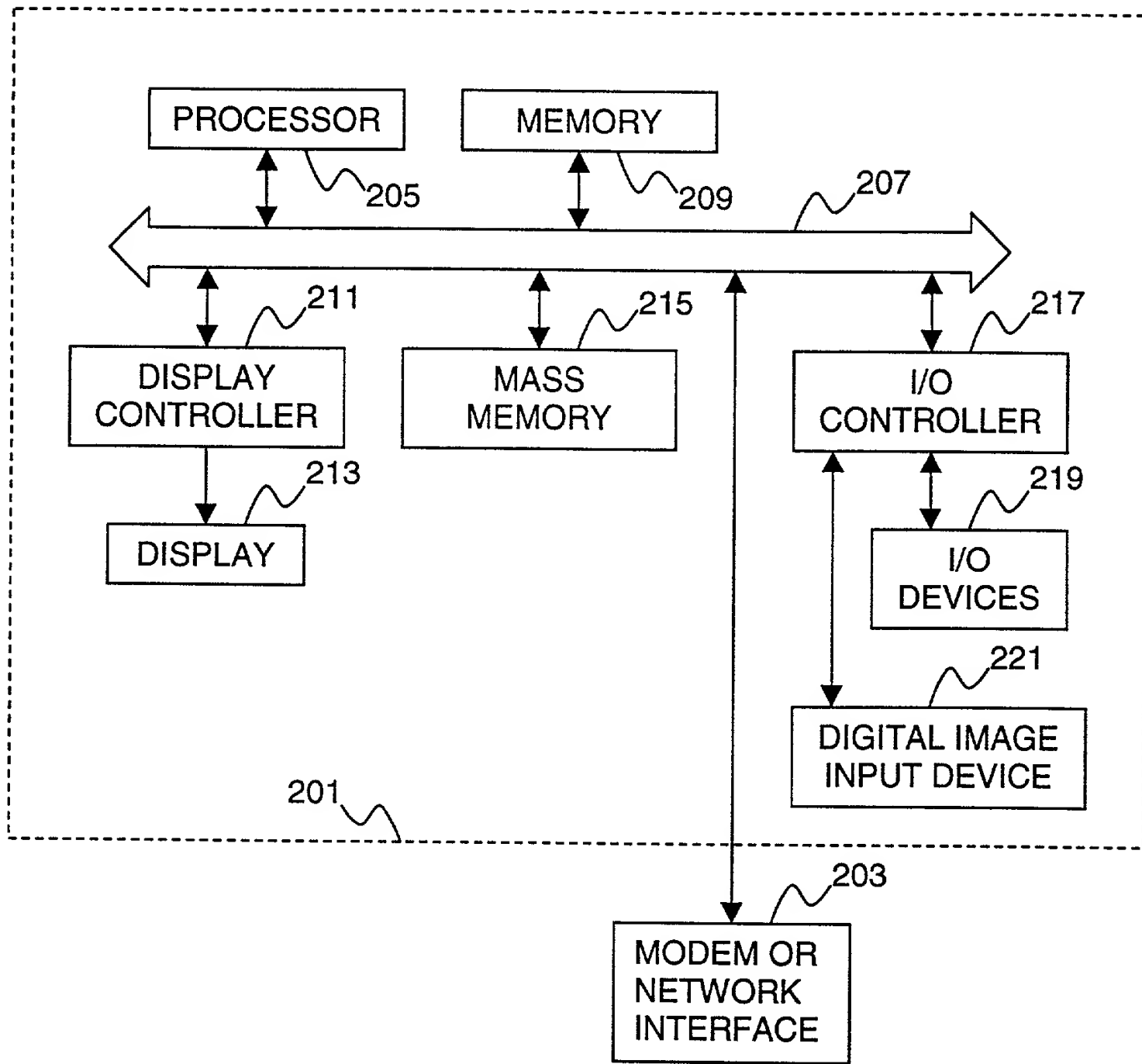


FIG. 2

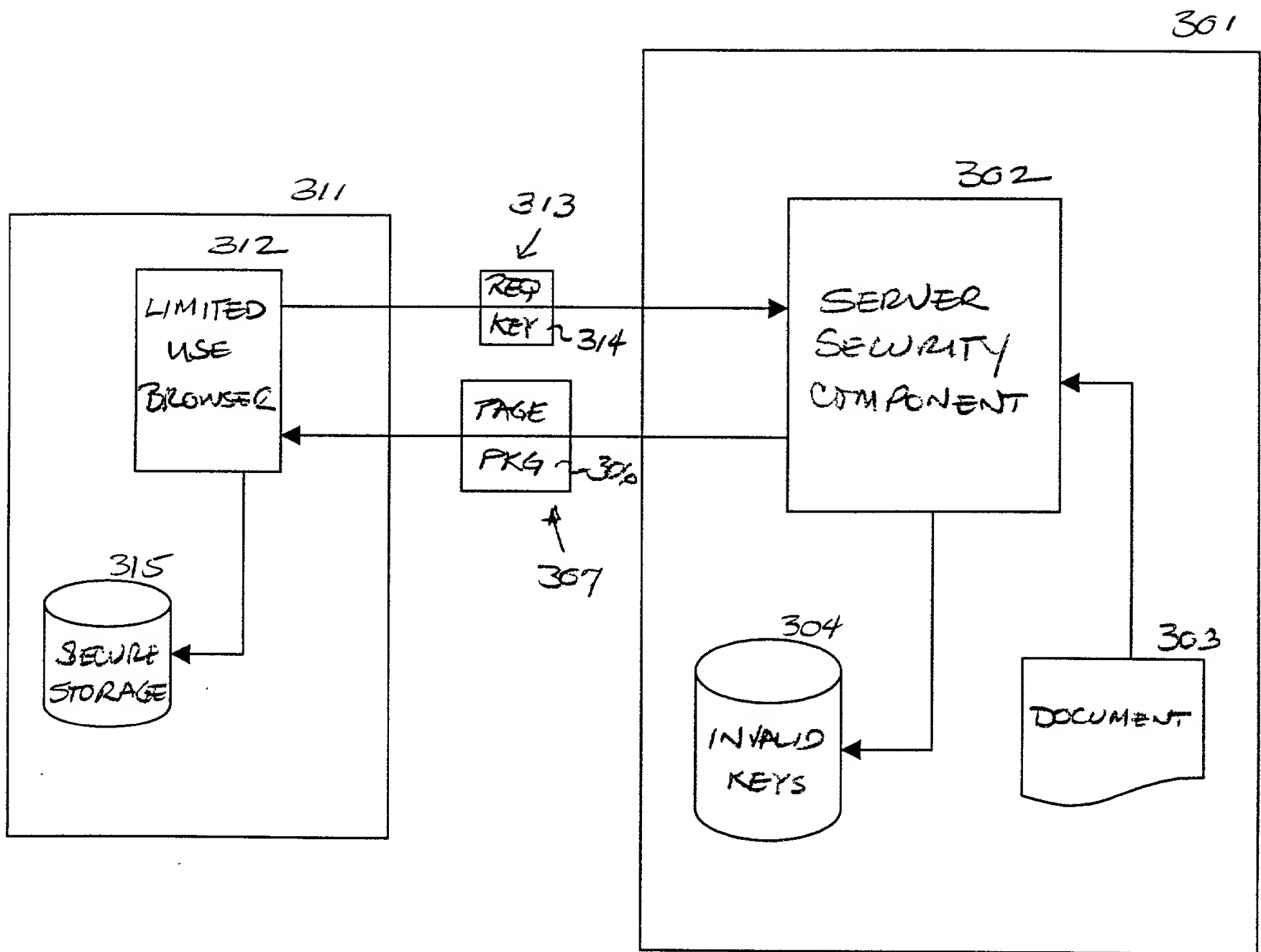


FIG. 3

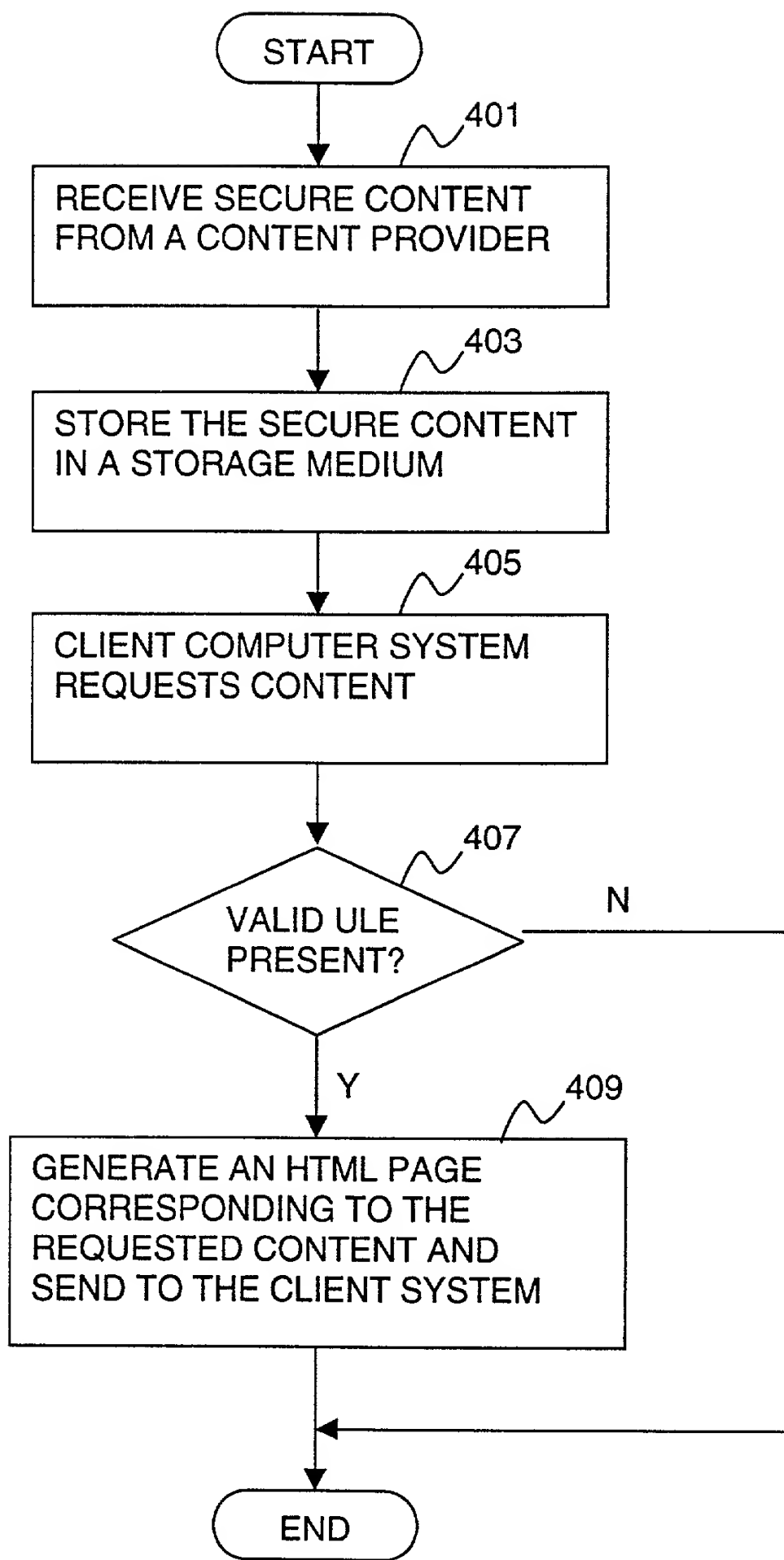


FIG. 4A

SECRET

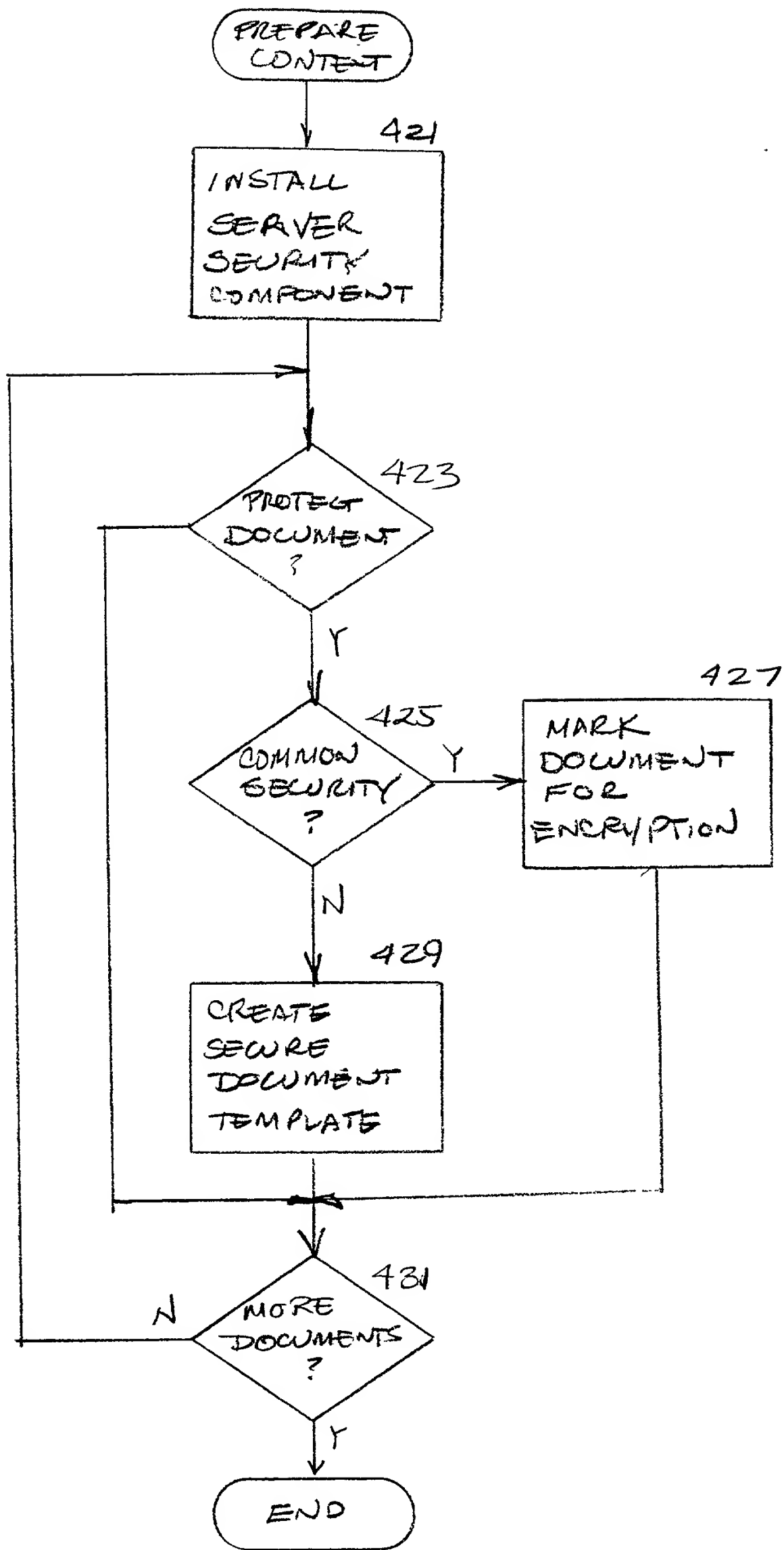


FIG. 4B

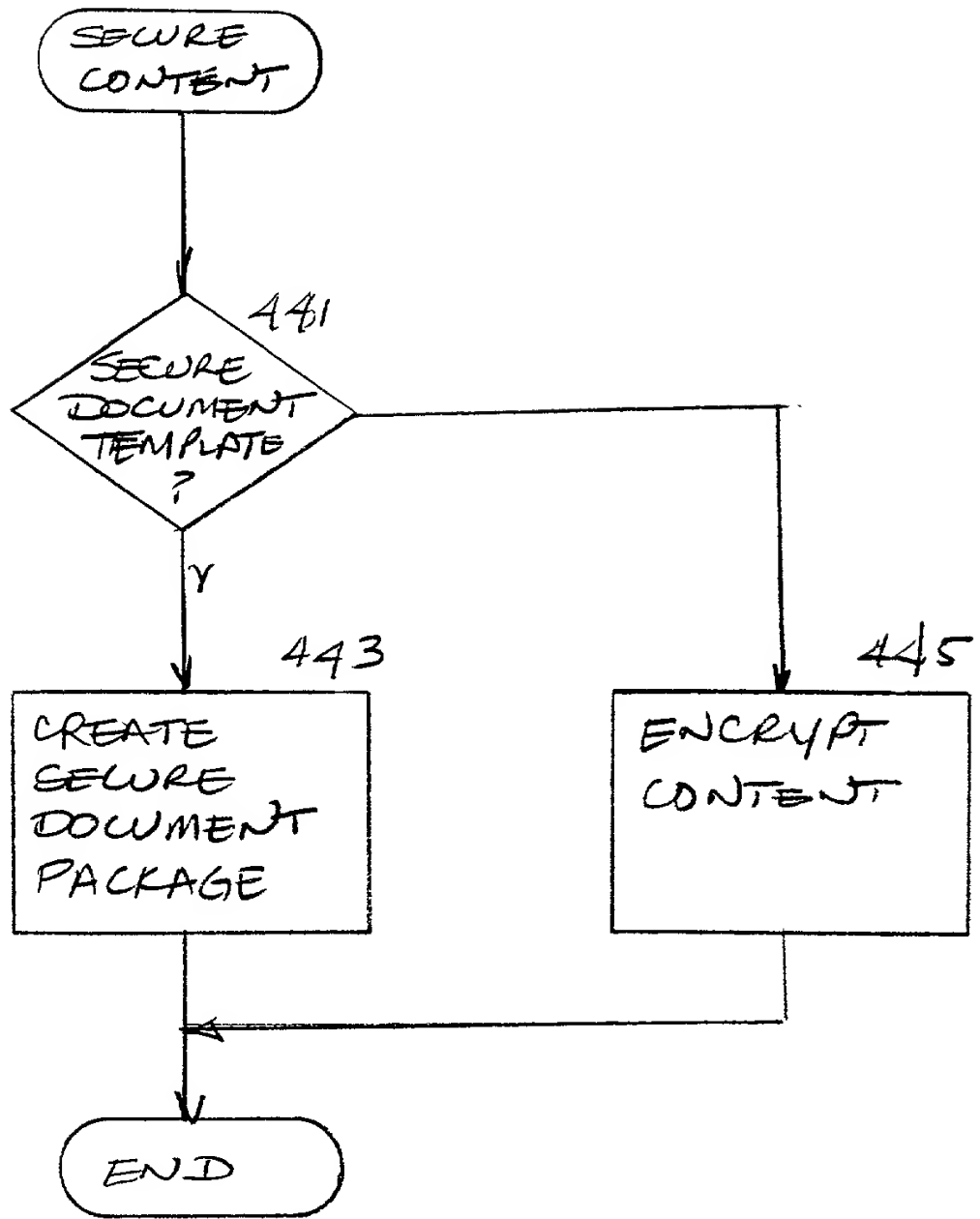


FIG. 4C

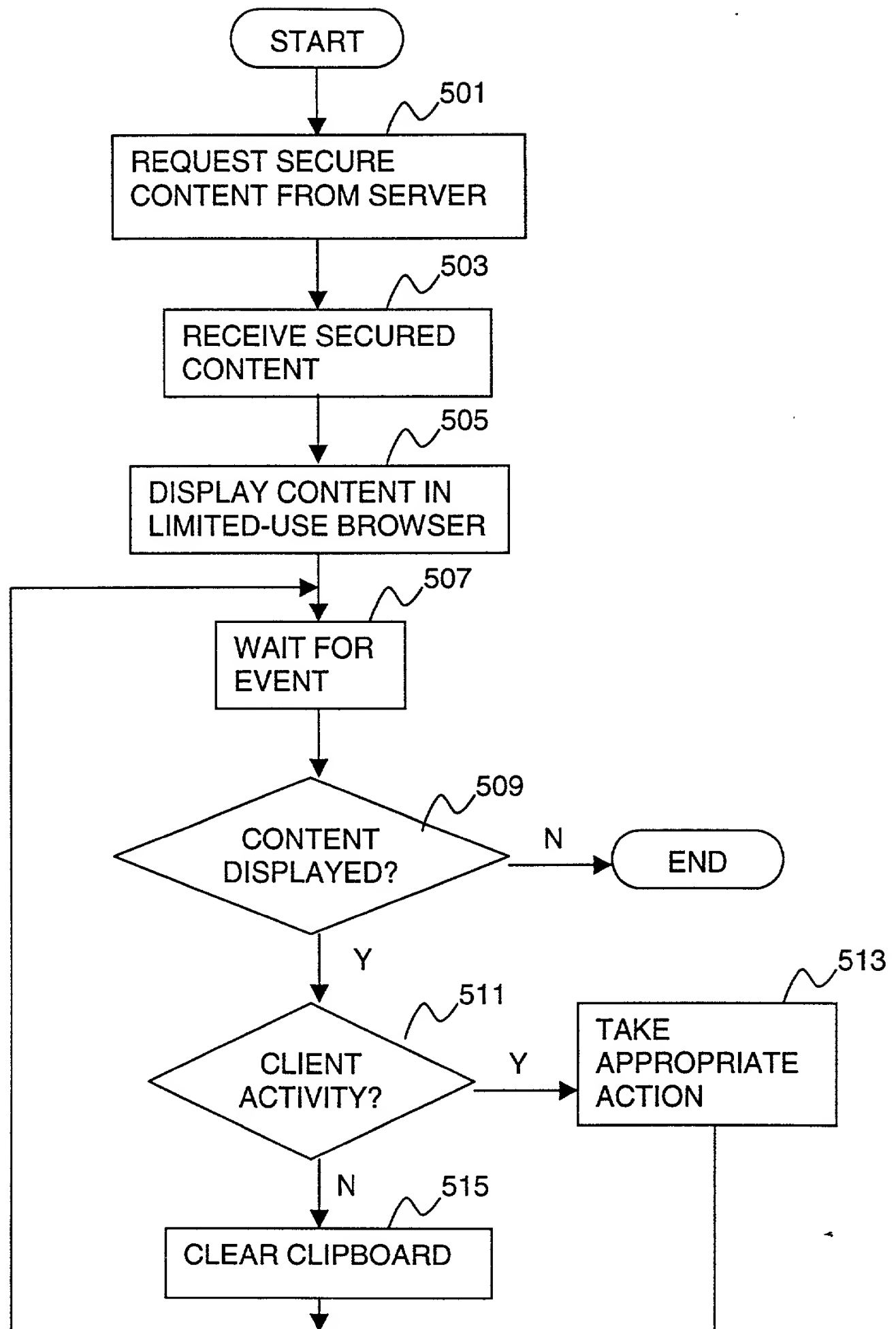


FIG. 5A



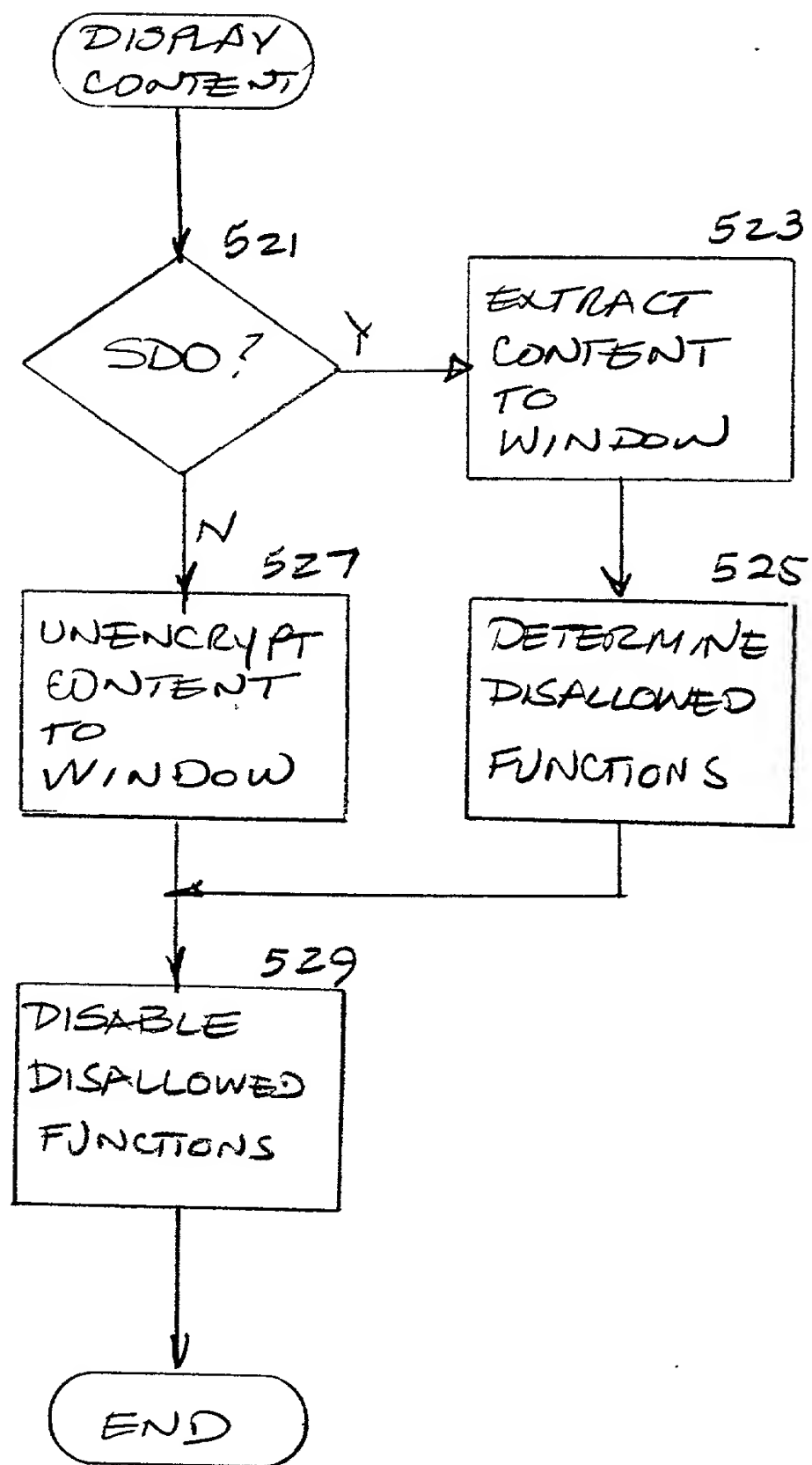


FIG. 5B



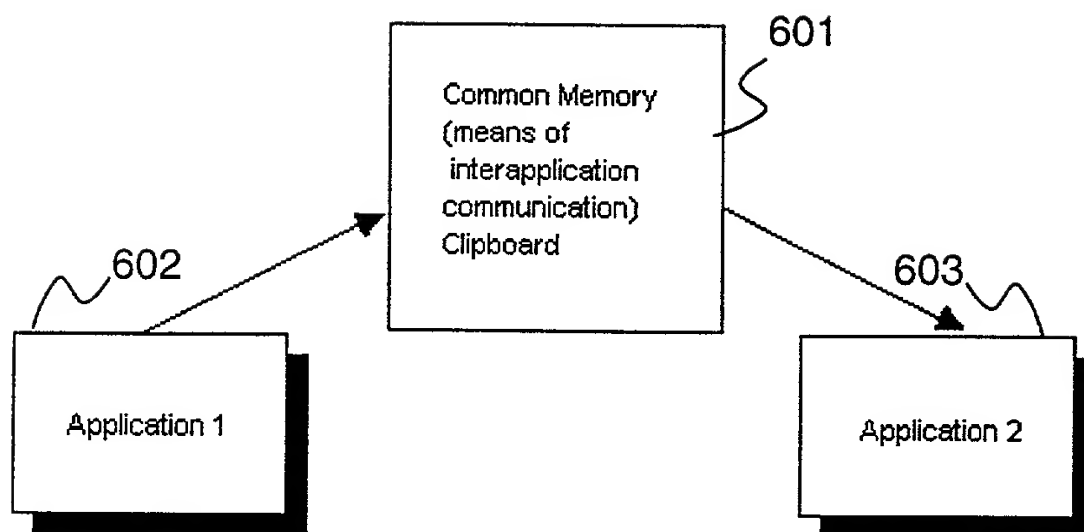


FIG. 6A

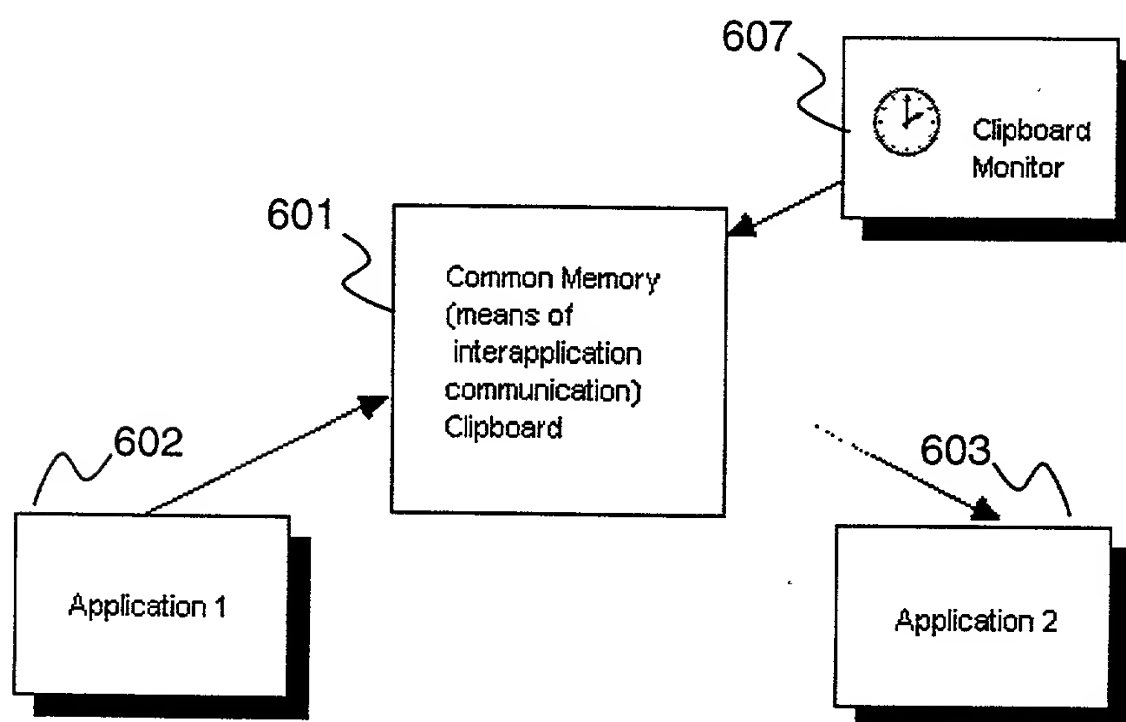


FIG. 6B

700

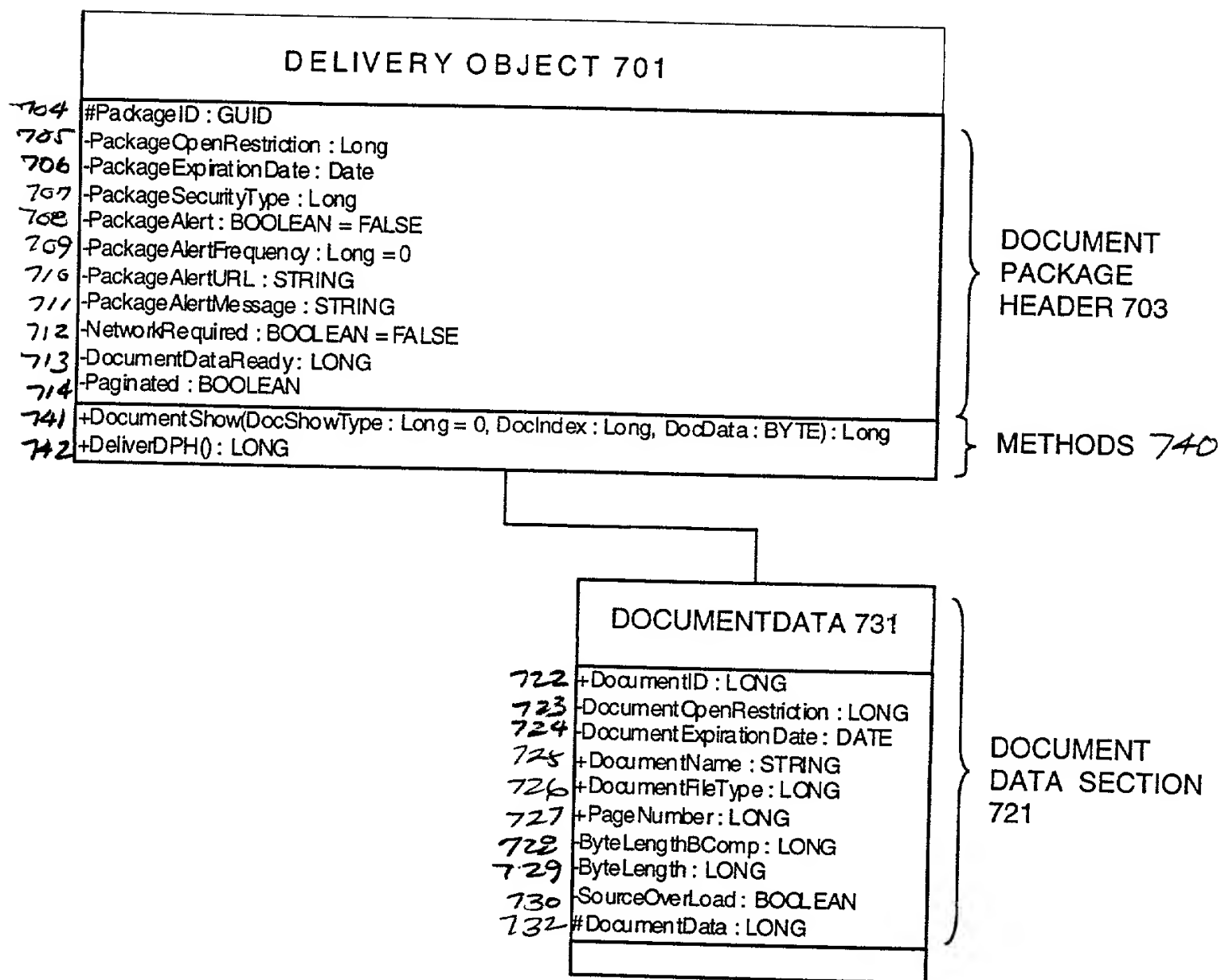


FIG. 7

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

LIMITED-USE BROWSER AND SECURITY SYTEM

the specification of which

XX is attached hereto.  
 \_\_\_\_\_ was filed on \_\_\_\_\_ as  
 \_\_\_\_\_ United States Application Number \_\_\_\_\_  
 \_\_\_\_\_ or PCT International Application Number \_\_\_\_\_  
 \_\_\_\_\_ and was amended on \_\_\_\_\_.  
 (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>	
<u>Number</u>	<u>Country</u>	<u>Day/Month/Year Filed</u>	<u>Yes</u>	<u>No</u>
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:

<u>60/119,309</u>	<u>2/8/99</u>
Application Number	Filing Date

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application Number	Filing Date	Status -- patented, pending, abandoned
Application Number	Filing Date	Status -- patented, pending, abandoned

I hereby appoint the persons listed on Appendix A hereto (which is incorporated by reference and a part of this document) as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Send correspondence to Sheryl Sue Holloway, BLAKELY, SOKOLOFF, TAYLOR &

(Name of Attorney or Agent)

ZAFMAN LLP, 12400 Wilshire Boulevard 7th Floor, Los Angeles, California 90025 and direct telephone calls to Sheryl Sue Holloway (408) 720-8598.

(Name of Attorney or Agent)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor Christopher J. Howard

Inventor's Signature

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#### APPENDIX A

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## APPENDIX B

### Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclosure information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
  - (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.